



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Thomas Brockmann, et al)
Serial No.: 09/845,506)
Filed: April 30, 2001)
For: A LIGHTING FIXTURE)

Examiner: Unknown
Art Unit: Unknown
Irvine, California
October 23, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on 10/23/01

Susan M. Langworthy
Name of applicant, assignee or Registered Representative

Susan M. Langworthy
Signature
October 23, 2001
Date

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

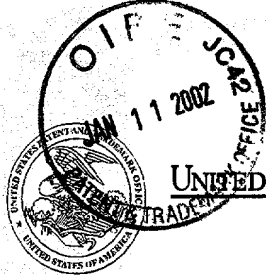
In response to the Notice of Incomplete Reply (Nonprovisional) mailed October 16, 2001, applicant hereby submits substitute drawings (12 sheets) in compliance with 37 CFR 1.84.

Respectfully submitted,

Date: Oct 23, 2001

By: Howard J. Klein
Howard J. Klein, Registration No. 28,727

KLEIN & SZEKERES, LLP
4199 Campus Drive, Suite 700
Irvine, California 92612
Telephone: (949) 854-5502



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/845,506 | 04/30/2001 | Thomas Brockmann | 606-16-PA |

CONFIRMATION NO. 2090

FORMALITIES LETTER



OC000000006916683

KLEIN & SZEKERES, LLP
Suite 700
4199 Campus Drive
Irvine, CA 92612

Date Mailed: 10/16/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 09/13/2001 to the Notice to File Missing Parts (Notice) mailed 08/30/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE